

R-loops as Janus-faced modulators of DNA double-strand break repair

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▶ To cite this version:

Aline Marnef, Gaëlle Legube. R-loops as Janus-faced modulators of DNA double-strand break repair. Nature Cell Biology, 2021, 23 (4), pp.305-313. 10.1038/s41556-021-00663-4 . hal-04125277

HAL Id: hal-04125277 https://ut3-toulouseinp.hal.science/hal-04125277v1

Submitted on 12 Jun 2023

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12 Abstract

R-loops are non-B DNA structures with intriguing dual consequences for gene expression and genome stability. In addition to their recognized roles in triggering DNA double-strand breaks (DSBs), R-loops have recently been demonstrated to accumulate in response to DSBs, especially when induced in transcriptionally active loci. In this review, we discuss whether Rloops actively participate in DSB repair or are detrimental by-products that must be removed to avoid genome instability.

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R-loops are dynamic non-B DNA structures that arise during transcription, when nascent RNA 21 22 forms Watson-Crick base pairs with the template DNA strand, generating an DNA:RNA hybrid duplex and a displaced single DNA strand. Despite their discovery five decades ago, the prevalence 23 and the roles of R-loops remained mostly ambiguous until the advent of high-throughput 24 25 sequencing techniques which enabled their detection genome wide^{1,2}. R-loops mostly accumulate on the 5' and 3' ends of GC-skewed, transcriptionally active loci^{3,4}. Their formation and removal 26 are regulated via several pathways, including mRNA processing (e.g. the THO/TREX complex⁵), 27 RNA modification⁶, negative supercoiling⁷, single-strand DNA binding proteins (e.g.⁸) and 28 specialized R-loop processing machineries such as RNA:DNA hybrid helicases, nucleases and, 29 30 more surprisingly, endonucleases involved in DNA damage repair⁹.

31

R-loops are usually considered as toxic by-products of ongoing transcription that generate 32 structural obstacles requiring removal to allow the processivity of RNA and DNA polymerases. 33 They are considered as sources of DNA damage that trigger genome instability^{1,10,11}, although the 34 mechanism(s) by which they cause such instability are still being characterized¹². Accordingly, 35 downregulation of R-loops removal pathways triggers a large range of detrimental outputs, at 36 molecular levels from replication and transcription defects to clinical levels as illustrated by the 37 dramatic phenotype of patients bearing mutations in the senataxin gene¹³. However, R-loops are 38 also now recognized to have beneficial roles through their ability to regulate various processes 39 including transcription, chromatin structure, telomere integrity and replication in physiological 40 contexts^{1,14}. A key recent study showed that they can act as topological sinks to release in a non-41 enzymatic manner the negative supercoils imposed by processive activities on DNA^{7,15}. This 42 suggests that beyond being simple detrimental roadblocks that must be eliminated, R-loops also 43 represent active players in DNA-related processes. 44

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While R-loops are potent inducers of DNA damage, they are also key to maintain telomere integrity 46 (for a recent review see ¹⁴) and recent findings surprisingly revealed that DNA double-strand breaks 47 (DSBs) elicit RNA:DNA hybrid formation^{11,16,17}. DSBs are harmful DNA lesions that, apart from 48 being generated by programmed endonuclease activity, can be provoked both by environmental 49 sources such as chemotherapeutic drugs and exposure to radiation, and by oncogene-induced 50 replication stress and topoisomerase-induced transcriptional activation¹⁶. Here, we review the 51 conflicting evidence regarding the mechanisms leading to DSB-induced R-loop accumulation, as 52 well as their potential to regulate resection, transcriptional arrest and ultimately DSB repair. We 53 54 will discuss whether RNA:DNA hybrids represent roadblocks with detrimental consequences for DSB repair or whether they are beneficial structures that actively contribute to this process. 55

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57 Several models can account for RNA:DNA hybrid accumulation in *cis* to DSBs

The first evidence for R-loop accumulation at DSBs came from immunofluorescence studies 58 showing that a catalytically-inactive mutant RNaseH protein used as a probe for R-loops is readily 59 detected at sites of laser-induced DSBs¹⁸. Many reports later confirmed the accumulation of R-60 loops in cis to DSBs provoked by various DNA damaging agents (irradiation, targeted reactive 61 oxygen species, nuclease-based DSB induction) in several model systems using various R-loop 62 measurement methods¹⁹⁻³⁴. However, the mechanism by which these RNA:DNA hybrids 63 accumulate at DSBs is still unclear. This is probably in part due to the methods to induce DSBs, 64 the position of DSBs on the genome and the number of DSBs analyzed (hundreds versus a few) 35 . 65 Yet the manner in which DSB-induced hybrids accumulate is not only critical in determining the 66 mechanistic details but may also inform us as to their "raison d'être". 67

69 *The "DSB as promoter" model*

70 Several studies led to the proposal that DSB-induced hybrids are generated from *de novo* bidirectional transcription in an unconventional manner through DSB ends acting as promoters 71 (Fig.1a)^{23,24,36–39}. Indeed, DNA ends can directly recruit RNA Polymerase II (RNAPII) in vitro in 72 a process that depends on the MRN complex^{38,39}. Moreover, *in vivo* data show that DSBs induced 73 by the homing endonuclease I-PpoI recruit RNAPII and factors of the pre-initiation complex 74 (CDK7 and CDK9)^{24,25,37}. CDK7 and CDK9 are key regulators of the regular transcription cycle. 75 They phosphorylate RNAPII on its carboxy-terminal domain (CTD) heptad repeats, first at serine 76 5 (CDK7) to promote initiation, then at serine 2 (CDK9) to induce elongation. The recruitment of 77 these kinases³⁷ suggests that the transcription initiated at DSBs follows the canonical mode. 78 Accordingly, live and super-resolution imaging studies show both nascent transcription and 79 RNAPII colocalizing with DNA breaks^{37,38,40,41}. Of interest, DNA-PK, a key mediator of DSB 80 signaling, can enhance transcriptional activity outside the DSB context⁴², which suggests a role 81 for this kinase in transcription activation at DSBs. Since resection was found to be required for 82 hybrid formation, it was proposed that these newly synthesized transcripts (called dilncRNAs for 83 DSB-induced long non-coding RNAs) can form RNA:DNA hybrids at the site of DSBs, rather than 84 actual R-loops (which are composed of the RNA:DNA hybrid and the displaced ssDNA)²³. In 85 86 conclusion, such a model proposes that de *novo* bidirectional transcription, initiated from DSBs, triggers RNA:DNA hybrid formation and is a necessary and active process that systematically 87 88 occurs at all DSBs to ensure correct repair (Fig. 1a).

However, this model is hard to reconcile with the transcriptional repression known to occur *in cis*to DSBs and with genome-wide studies revealing that damage-induced R-loops mostly form *in cis*to DSBs arising at loci that display prior RNAPII occupancy (see below).

93 The "transcription regulation" model

94 As an alternative model, DSB-induced hybrids may form as a consequence of transcriptional repression occurring in cis to DSBs rather than as a consequence of de novo transcription (Fig. 1b). 95 Accordingly, outside the DSB context, R-loops form in response to impaired transition from 96 97 initiating to elongating RNAPII and to decreased RNAPII processivity within genes. For instance, R-loops accumulate at the 5'end or near the 3'transcription termination site⁴ where RNAPII 98 elongation rate is low⁴³. Moreover, increased RNAPII idling at promoter proximal pause sites is 99 correlated with increased R-loop levels in many cases^{44–47}. Importantly, when induced within or 100 close to an active locus, DSBs trigger transcriptional repression in *cis* (reviewed in^{16,48}). This local 101 102 transcriptional shut down involves alterations of RNAPII and its associated factors, as well as changes in chromatin structure^{16,48}. Of particular interest, DSBs trigger (i) the recruitment of 103 NELF-E⁴⁹, involved in promoter proximal pausing, (ii) a decrease of RNAPII CTD-Ser2 104 phosphorylation, the elongating form of RNAPII^{50,51} and (iii) an increase of RNAPII CTD-Tyr1 105 phosphorylation⁴¹, a mark associated with pausing at the 5' and 3' ends of genes^{52,53}. Such 106 alterations probably trigger modifications in RNAPII distribution across the damaged gene, with a 107 108 shift from elongating RNAPII within the gene to RNAPII accumulation at promoters and 5' pause sites. These changes could account for the previously reported increase of RNAPII at DSBs in 109 some instances (e.g.: when induced in promoters). It is also interesting to note that H2BK120 110 ubiquitination and H3K79me2 levels, previously associated with high RNAPII elongation rate⁵⁴⁻ 111 ⁵⁶, decrease around DSBs⁵⁷, in agreement with a DSB-induced slowing down or pausing of 112 RNAPII. Finally, DSBs also recruit multiple transcription termination factors and RNA 113 degradation complexes^{21,22,26,28,32,58-61} (e.g. DROSHA, SETX and the nuclear exosome). Taken 114 together, these studies suggest that the transcription cycle (i.e. initiation, elongation, and 115 116 termination) is heavily affected on genes in cis to DSBs with a decreased elongation rate, increased promoter-proximal pausing and premature termination (Fig. 1b), all of which are features
associated with R-loop formation. This raises the possibility that DSB-induced R-loops may occur
as a consequence of transcription shut down *in cis* to DSB.

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121 In contrast to the "DSB promoter" model, the "transcription regulation" model posits that formation of DSB-induced hybrids is not a ubiquitous feature but rather is restricted to DSBs falling within 122 regions that were transcriptionally active prior to DNA damage. This proposal is supported by 123 genome-wide DRIP-seq studies, which show specific accumulation of hybrids in *cis* to DSB 124 induced at loci occupied by RNAPII prior to damage but not around DSB induced in intergenic 125 loci, despite equivalent DSB induction levels^{21,62}. R-loops induced by reactive oxygen species were 126 also specifically observed at transcribed damaged loci¹⁹. Furthermore, use of NET-seq, which 127 captures nascent RNA embedded in RNAPII, enabled the identification of bidirectional transcripts 128 around only those DSBs that were induced in RNAPII pre-bound loci⁴¹. Finally, the presence of 129 DDRNAs (small double stranded RNA produced by dilncRNA processing^{38,63}), was shown to be 130 restricted to I-PpoI-induced DSBs falling within highly transcribed and repetitive regions⁶⁴. 131 Importantly, the *de novo* bidirectional transcription observed by live imaging⁴⁰ and NET-seq⁴¹ may 132 133 arise from such R-loop accumulation induced by transcriptional repression, since R-loops function as intrinsic promoters⁶⁵. 134

135

In conclusion, the "transcription regulation" model implies that R-loop production is not a generalizable feature occurring at all DSBs but occurs only at DSBs harboring prior RNAPII occupancy, as a consequence of the DSB-induced transcriptional repression (Fig. 1b). Unlike the "DSB as promoter" model, in which *de novo* transcription at DSBs allows to generate the RNA moiety of the R-loop and hence precedes the RNA:DNA hybrid formation, the "transcription regulation" model posits that R-loops form due to DSB-induced transcriptional repression, and that *de novo* transcription occurs subsequent to R-loop formation as a result of R-loops acting as promoters.

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145 Potential contributions of RNAPII backtracking, pre-mRNA and trans RNAs

Besides the two models just described, other mechanisms could contribute to R-loop formation at 146 DSBs in transcribed loci (Fig. 1c). First, one can envision a contribution of cis-pre-mRNA to R-147 loop formation^{33,66}. Indeed, the extensive histone modification changes observed following DSB 148 induction⁵⁷ could offer the opportunity for pre-existing pre-mRNA to anneal back to the template 149 DNA^{7,67}. Moreover, the availability of ssDNA produced by resection may also contribute to 150 stabilize RNA:DNA hybrids with pre-mRNA transcripts, consistent with increased R-loop 151 formation in *cis* to DSB in G2, a cell cycle phase in which resection is particularly efficient²³. 152 Second, RNAPII pausing that occurs when RNAPII encounters obstacles^{68,69} can trigger RNAPII 153 backtracking^{68,69}. This was proposed to produce anterior R-loops, *i.e.* R-loops that form in front of 154 the backtracked RNAPII⁶⁹. Hence, collision of RNAPII with factors accumulated on DSBs could 155 156 cause formation of nearby R-loops. Finally, trans RNA produced from a remote homologous locus or following processing could also contribute to R-loop accumulation. Hybrids generated by trans 157 RNA have been seen at the IgH locus⁷⁰, during CRISPR/Cas9-mediated DSB induction⁷¹, TERRA 158 ncRNA assembly at telomeric sequences⁷², and during lncRNA *trans* action on distant loci (e.g. 159 APOLO in Arabidopsis thaliana⁷³). For trans RNAs to promote R-loop formation they must invade 160 duplex DNA. This strand invasion was proposed to be mediated by RecA/RAD51, the ssDNA-161 binding protein usually mediating classical strand invasion during homologous recombination 162 (HR)^{72,74-76}), although this has been recently debated^{77,78}. RPA, another key ssDNA-binding 163 164 protein, known for its function in ssDNA stabilisation and RAD51 filament assembly, also displays the potential to bind RNA and catalyse R-loop formation⁷⁸, supporting a role for this ssDNA
binding-protein in inverse strand invasion. In all these models, nascent *de novo* transcription at
DSBs would also occur as a consequence of R-loop formation (Fig. 1c), since R-loops are
themselves able to initiate *de novo* transcription⁶⁵.

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The ever expanding range of genome-wide methods being developed to map RNA:DNA hybrids
to specific strands at single nucleotide resolution (e.g. DRIPc-seq⁷⁹, or qDRIP⁸⁰) should enable
discrimination between these models, particularly when combined with annotated DSB induction
systems³⁵.

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175 Regulation of R-loop levels by nucleases and RNA modifications

Beyond the crosstalk between R-loops and transcription, R-loops also possess the potential to alter 176 a large range of dsDNA and ssDNA templated processes, such as helicase-mediated unwinding, 177 exo- and endonuclease activities, nucleofilament assembly, strand invasion and DNA synthesis, all 178 179 of which are critical steps in the repair process. Moreover, R-loops may act as recruitment platforms recognized by several bona fide repair proteins, such as RPA^{78,81}, BRCA1^{23,82}, CSB^{19,27} and 180 Rad52^{20,27,83}, and are directly or indirectly required for loading of MDC1⁴¹, BRCA2^{23,47,84} and 181 53BP1^{22,41} at DSB sites. This suggests that R-loops are an integral component of the repair process. 182 In agreement with their strong potential to regulate the repair process, the R-loop level is subjected 183 to intense regulation at the site of damage. First, numerous R-loop removal factors are recruited at 184 DSBs, including SETX²¹, RNaseH1/2²³, DDX1³³, DDX5²⁶, XPG²⁰ and EXOSC10^{32,60}. Such 185 recruitment of several, potentially redundant, R-loop removal activities suggest that R-loops act as 186 roadblocks that require elimination. Second, recent studies indicate that chemical modifications 187 188 can take place on the RNA moiety of R-loops with consequences for their stability across the

genome^{6,85–89}. These RNA modifications, termed "epitranscriptomic" marks, which include 5-189 methylcytidine (m5C) and N6-methyladenosine (m6A), regulate many aspects of RNA life, e.g.: 190 export and stability⁹⁰ and many cellular processes, including DNA damage^{30,91,92}. Notably, the 191 m6A-writer, METTL3 is required for efficient UV-damage repair⁹¹, and m6A-modified 192 193 RNA:DNA hybrids were found to accumulate post-DSB induction in an ATM-dependent manner^{30,89}. In *cis* to DSB, m6A-RNA modification is required to stabilize nascent modified RNAs 194 that form hybrids, by a mechanism that involves the YTHDC1 m6A reader^{30,93}. Of interest, m⁵C 195 196 also accumulates on mRNAs in cis to DSB, and the methylation was proposed to take place on RNA:DNA hybrids⁹². Despite the lack of recruitment of the classical m5C reader, ALYREF, to 197 m5C-modified DSB-induced hybrids in human cells⁹², its yeast homologue Yra1 (mostly known 198 for its role in mRNA export and in stabilizing DNA:RNA hybrids⁹⁴) has been implicated in DSB 199 repair⁹⁵. Altogether, these epitranscriptomic marks could act as a combinatorial code to allow 200 201 dynamic and rapid modulation of R-loop levels at DSBs and could also act as a recruitment platform, given their ability to be recognized by reader proteins. 202

203

204 Functions of DSB-induced R-loops

205 Control of transcriptional activity near DSBs

As mentioned earlier, DSB induction results in transient repression of transcription of nearby loci, which can lead to RNA:DNA hybrid formation. As well as being a consequence of transcriptional silencing, DSB-induced hybrids may also hold the capacity to mediate transcriptional shut down. This would create a positive feedback loop whereby hybrid formation is further required to reinforce repression. This hypothesis is supported by the fact that outside the DSB context R-loops ensure the recruitment of the PRC1 complex⁹⁶, a complex also involved in DSB-induced transcriptional silencing^{97–99}. DSB-induced hybrids could also contribute to premature transcription termination via the recruitment of histone methyltransferase G9a, local H3K9me3/2 deposition and HP1 γ binding, which occur in *cis* to DSBs^{100–103}, since all these chromatin changes were reported to arise in an R-loop-dependent manner and to promote transcription termination^{104,105}. Moreover, several RNA:DNA helicases that resolve DSB-induced hybrids, such as SETX/Sen1^{21,31}, DHX9²⁸, DDX1³³ or DDX5²⁶, also mediate premature or normal transcription termination on undamaged DNA^{104,106–109} (Fig. 2).

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220 *Control of resection*

221 As mentioned above, the RNA moiety of the R-loop displays an extraordinary potential to regulate multiple steps of DSB repair, not only through its acting as a recruitment platform, but also by 222 substituting for the dsDNA substrate on which DSB repair processes naturally occur. A conserved 223 and essential step of HR repair is the generation of a long 3' ssDNA on either side of the break, a 224 process known as resection^{110,111}. Resection is believed to be initiated by the concerted action of 225 226 MRN and CtIP, which produce ssDNA nicks near both sides of the DSB. While the 3'end is processed towards the DSB by the MRN complex, further long-range resection is ensured through 227 the action of 5' to 3' exonucleases Exo1, DNA2 and the BLM helicase. ssDNA is further coated 228 229 by the ssDNA binding protein RPA, which is often used as a proxy to measure resection in vivo. RPA exchange and RAD51 nucleofilament formation on ssDNA are subsequently required for 230 homology search and HR completion^{110,111}. 231

232

Many reports suggest that R-loops act as roadblocks which modulate resection (Fig. 3a). Indeed,
 elevated R-loop levels caused by the depletion of several removal factors (HNRNPD²⁹, DDX5²⁶,
 USP42²⁸, RNase H1/2²⁴) coincides with decreased resection. Conversely, overexpression of RNase

H fosters resection²⁴. Consistent with these findings, *in vitro* data showed that long RNA:DNA 236 hybrids prevent the action of BLM and Exo1¹¹². However, the impact of RNA:DNA hybrids on 237 resection is proving to be more complex than first thought. Indeed, DSB-induced hybrids may, in 238 contrast to the above observations, trigger non-canonical and extensive resection (Fig. 3a). First, 239 240 the key resection factor CtIP is still recruited at DSBs in the presence of persistent R-loops, after depletion of EXOSC10/RRP6 or DDX1^{32,33}, and it is capable of R-loop removal in other 241 contexts¹¹³. Second, a number of studies reported that accumulation of RNA:DNA hybrids, by 242 243 SETX, DDX1, EXOSC10/RRP6 or XRN2 depletion, did not dramatically decrease resection in vivo, and even increased it in a number of cases^{21,22,31–33,114}. Resection analysis using Single 244 Molecule Analysis of Resection Tracks (SMART) revealed that EXOSC10 depletion, associated 245 with increased R-loops, significantly increased the length of resection tracks at irradiation-induced 246 DSBs³². Notably, in yeast, the absence of Sen1 (SETX homologue), which promotes R-loop 247 248 persistence at DSBs, activates a non-canonical resection pathway at an HO-induced break, that involves Mre11, Sae2 (CtIP) and DNA2, but does not require Exo1 and Sgs1 (BLM homologue)³¹. 249 250 Moreover, an XPG-dependent, non-canonical resection pathway might prevail at transcriptionally active loci that accumulate hybrids in human cells²⁰, where this structure-specific flap 251 endonuclease (reported to resolve R-loops outside the DSB context^{108,115,116}) would simultaneously 252 promote hybrid resolution and resection²⁰. In addition, DSB-induced large deletions are more 253 frequent in cells depleted for SETX, further suggesting that resection can occur despite excessive 254 accumulation of R-loops³⁴. Interestingly, these large-scale deletions depend on XPF³⁴, another flap 255 endonuclease able to process R-loops¹¹⁵, supporting a broad involvement of flap endonucleases in 256 257 non-canonical resection. Such a role for R-loops in promoting long-range non-canonical resection could also account for the extensive resection observed at breaks induced in ribosomal DNA, a 258 locus naturally prone to R-loop formation¹¹⁷. It might also explain why the RNAse III 259

endoribonuclease Drosha which promotes R-loop formation in *cis* to DSB, potentiates resection²². 260 261 Taken together, these studies suggest that persistence of R-loops around DSBs strongly affects DSB processing, which could activate a non-canonical resection pathway to form an unusually 262 long resected strand (Fig. 3a). Such R-loop-driven large-scale resection may occur when canonical 263 264 R-loop removal pathways are inefficient, as in a SETX deficient background, but could also take 265 place at specific genomic locations that are more prone to form stable hybrids. However, several lines of evidence suggest that the extended ssDNA produced may not be competent for 266 nucleofilament assembly and later steps in homologous recombination (HR) (see below). 267

- 268
- 269 Regulation of RAD51 nucleofilament assembly and HR repair

A few reports have suggested that R-loop formation is required for RAD51 focus assembly. Indeed, depletion of Drosha, involved in forming DSB-induced R-loops, or METTL3, involved in stabilizing them, reduced RAD51 focus formation and HR^{22,30}. Interestingly, R-loops may promote RAD51 nucleofilament assembly through a non-canonical mechanism that relies on CSB and RAD52, but not BRCA1 and BRCA2, as proposed for DNA damage induced by reactive oxygen species¹⁹. Such non-canonical RAD51 assembly also requires the TRDMT1-dependent m5C modification of DSB-induced R-loops⁹².

In contrast, most studies highlight the need to remove R-loops, since persistent R-loops prevent RAD51 filament assembly and downstream $HR^{21,24,26,28,32,33,60,114}$. For example, the R-loop removal factors SETX, EXOSC10, DDX5, and DDX1 are all required for correct RAD51 focus formation and 'error-free' HR repair^{21,26,32,33,60}. Consequently, SETX depletion increases translocation frequency (*i.e.*: illegitimate rejoining of two independent DSBs) and strongly impairs cell survival following DSB production in active loci, which are prone to form DSB-induced R-loops²¹ (Fig. 3b).

Overall, RNA:DNA hybrid accumulation and subsequent removal appear to be essential steps for 284 285 resection, nucleofilament assembly and HR. Conflicting data about the role of DSB-induced hybrids likely arises from difficulties in experimentally recapitulating the dynamic and transient 286 nature of DSB-induced hybrids. Indeed, tipping the balance towards their absence or stabilization 287 288 may both result in detrimental outcomes. Another likely source of discrepancy is the variety of methods used to measure resection and HR. Among the most striking examples is the possibility 289 that RNA:DNA hybrids actually form on ssDNA thereby precluding RPA binding. In this scenario, 290 291 resection would be detected by quantitative biochemical assay but not by using RPA as a proxy of resection. This clearly highlights the need to use several methods for measuring resection, as well 292 293 as for detecting nucleofilament assembly and HR.

294

295 Roles of R-loops in alternative repair pathway usage

As an additional layer of complexity, R-loops and in particular their RNA moiety, may also besubstrates for alternative DSB repair pathways.

298 RNA-templated repair

299 Mounting evidence now supports the existence of RNA-templated DSB repair pathways, where *cis* mRNAs support repair of their own DNA by two distinct mechanisms. cDNA-templated DSB 300 repair (also called c-TDR) uses a DNA copy of the transcript for repair; in yeast it relies on Ty 301 reverse transcriptase activity¹¹⁸. The second mechanism, called R-TDR, directly uses the RNA 302 transcript to mediate DNA synthesis by DNA polymerase ζ (Fig. 3c)^{118–120}. In yeast and human, 303 R-TDR is RAD51-independent and requires RAD52 for the cis-RNA to invade minimally resected 304 dsDNA, thereby forming an RNA:DNA hybrid by inverse strand invasion⁶⁶. Interestingly, 305 RAD51/RecA can also mediate inverse strand exchange with a trans RNA^{72,74–76} raising the 306

possibility that RAD51 could also direct such RNA-templated repair. R-TDR is further stimulated 307 308 by RPA⁶⁶, which acts as an R-loop sensor when associated with transcribed genes, and whose presence at R-loops fosters DNA synthesis by human DNA polymerases *in vitro*^{78,81}. Accordingly, 309 310 R-TDR is mediated by the translesion DNA polymerase ζ that uses the *cis* mRNA hybrids as a template to initiate DNA synthesis at sites of DNA damage¹²⁰. R-TDR has been detected in the 311 312 absence of RNaseH, but it has been argued that R-TDR is used as a fall back mechanism for BRCA1-dependent HR^{66,118,120}. R-TDR would be ideal for mediating repair in G0/G1 phase, in 313 314 which canonical HR repair is strongly inhibited. Accordingly, an RNA-templated recombination 315 necessitating CSB, RAD52 and hybrid formation was proposed to occur at transcriptionally active loci in GO/G1 cells^{83,121}. 316

317

318 A role in triggering $Pol\alpha$ - and $Pol\delta$ - dependent synthesis?

Landmark work from the de Lange lab indicated that the CST-Pol α complex is mobilized to counteract resection at DSBs, by initiating a fill-in reaction¹²². During replication, Pol α normally initiates DNA synthesis using a small RNA primer, synthetized by the primase. Yet there are precedents for non-canonical replicative DNA synthesis using R-loops as a primer: origins of *E. coli* multicopy plasmids and the yeast rDNA locus are examples^{123,124}. One could thus envisage the RNA moiety of DSB-induced R-loop priming Pol α -dependent fill-in (Fig. 3c).

Moreover, compelling evidence indicates that in the absence of Sen1 and RNaseh1/2 in yeast, DSB are repaired by a Pol32-dependent Break-Induced Replication (BIR) pathway^{125,126}. In human cells, BIR (which involves POLD3/POLD4, Pol32 orthologs) has been reported to occur mainly on telomeric sequences in ALT (Alternative Lengthening of Telomeres) positive cells^{127,128}. Indeed, BIR-ALT increases in conditions where R-loop removal is impaired^{129–132}, and telomeric DSB repair was recently shown to depend on an R-loop-mediated CSB-RAD52-POLD3 pathway²⁷.
Whether a similar pathway is followed at intra-chromosomal DSBs in the absence of R-loop
removal needs further clarification, but our recent work suggests that a POLD3-dependent pathway
may indeed be initiated at DSBs induced in active loci in absence of SETX (Fig.4)¹³³.

334

335 Outlook

Recent work hence brought to light the unanticipated presence and function of RNA:DNA hybrids at DSBs. However, a large number of questions remain regarding their accumulation and their exact roles.

339 For instance, the involvement of numerous R-loop removal factors raises several important questions that deserve future investigation: are these removal factors redundant or do they 340 cooperate? do they deal with a homogenous or heterogeneous population of hybrids that current R-341 342 loop mapping methods are unable to distinguish? Moreover, transcription termination pause sites prone to form R-loops have been recently reported to trigger the synthesis of a new class of RNA 343 (DNA damage associated small RNAs, or sdRNAs) that are required for PALB2/Rad52 344 recruitment and repair of single strand breaks arising at those genomic locations¹³⁴. Can such 345 sdRNAs also arise from DSB-induced R-loops and can they also contribute to DSB repair?. 346

347

Another exciting future avenue of research is the function of R-loops in large-scale DSB movement. Indeed, use of alternative repair pathways has been associated with relocalization of DSBs to the nuclear periphery and nuclear pores, which act as docking sites for initiating errorprone non-canonical repair pathways such as BIR and Microhomology-Mediated End joining (reviewed in^{135–137}). Interestingly, many factors involved in mRNA export and associated with nuclear pores prevent R-loop formation outside the DSB context^{138,139}. It is thus tempting to

speculate that localizing persistent DSBs to the nuclear pore/envelope would favor DSB-induced 354 355 hybrid removal and repair. Future lines of investigation should also aim at understanding the implication of R-loops in DSB clustering, which also involves large-scale movements^{140–142}. While 356 the function of such clustering is as yet unknown, it mainly involves persistent DSBs induced in 357 transcribed loci that accumulate RNA:DNA hybrids¹⁴¹. Moreover, DSB clustering relies on phase 358 separation^{37,143–145}, thanks to the liquid-liquid demixing properties of 53BP1 that can be fostered 359 by RNA molecules³⁷. Of interest, RNA molecules as well as the m6A RNA modification (present 360 on DSB-induced hybrids) contribute to the assembly of many phase-separated bodies^{146–148}. The 361 E. coli SSB protein, the homologue of RPA which binds the displaced R-loop ssDNA strand⁸¹, also 362 displays liquid-liquid phase separation properties¹⁴⁹. Thus, whether R-loops promote phase 363 364 separation clearly deserves to be investigated. Such process may be particularly relevant for neurological diseases-associated with aberrant R-loop formation and increased genomic instability, 365 366 given that one of the main hallmarks of many neurodegenerative diseases is the accumulation of pathological protein aggregates, caused by aberrant phase separation behavior¹⁵⁰. 367

368

In conclusion, much remains to be understood regarding the functions of DSB-induced RNA:DNA 369 hybrids during repair, including their mode of accumulation at DSB, their relationship to 370 transcription, their role in non-canonical resection as well as their influence on the use of non-371 canonical repair pathways. The apparent need of R-loops to recruit repair proteins underscores their 372 potential beneficial roles in the repair process. However, these functions must be regulated to be 373 374 compatible with the need for their ultimate removal to ensure safe repair and maintenance of 375 genome integrity. This duality of function is not restricted to DSB-induced hybrids, and advances in the R-loop field will sooner or later reveal the true importance of DSB-induced hybrids during 376 377 the repair process.

379 Acknowledgments

| 381 | Funding in G.L.'s laboratory was provided by grants from the European Research Council (ERC- |
|-----|--|
| 382 | 2014-CoG 647344), the Agence Nationale pour la Recherche (ANR-14-CE10-0002-01), the |
| 383 | Institut National Contre le Cancer (INCA), and the Ligue Nationale Contre le Cancer (LNCC). We |
| 384 | thank Dr Sylvain Egloff (CBI, Toulouse) and Dr David Lane (CBI, Toulouse) for critical reading |
| 385 | of the manuscript and apologize to colleagues whose work was not cited due to space constraints. |
| 386 | |
| 387 | Competing interest statement |
| 388 | The authors declare no competing interests. |
| 389 | |
| | |

391 Figure Legends

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Figure 1: Current models for RNA:DNA hybrid accumulation in *cis* **to DSB.**

(a) The "DSB as promoter" model postulates that DNA ends act as promoter, where the Pre 394 395 Initiation Complex (PIC), the Mediator and the RNAPII are loaded. *de novo* synthetized RNA 396 (dilncRNA) hybridizes back to the resected strand forming an RNA:DNA hybrid. dilncRNAs are further processed and give rise to DDRNAs, that amplify the DDR response. (b) The "transcription 397 regulation" model posits that R-loops arise as a consequence of local transcription inhibition 398 399 elicited in *cis* to DSBs. The DSB induces changes in the transcription cycle of near-by genes, which 400 entails decreased elongation rate on genes bodies, increased promoter-proximal pausing and premature termination. R-loops form as a consequence of these changes, as shown in other 401 contexts. These R-loops that accumulate in cis to DSB further act themselves as promoters, 402 triggering bidirectional *de novo* transcription not initiated from the DNA ends themselves. (c) R-403 loop accumulation could also occur following the hybridization of prior pre-mRNA with the 404 resected strand, from RNAPII backtracking when it encounters a DSB on the gene body, or from 405 406 an RNA produced in *trans* (from a remote locus) that invades the dsDNA. In these conditions, as 407 in (b), R-loops then act as promoters leading to *de novo* transcription in the vicinity of DSBs.

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409 Figure 2: A function of R-loops in local transcriptional repression

R-loops were shown in other contexts to display the potential to repress transcription by triggering
repressive histone modifications. R-loops can foster H3K9me2 by G9a and promote termination.
R-loops also trigger the PRC1 Polycomb complex recruitment, leading to H3K27me3. R-loops
induced at DSB could therefore contribute to terminate transcription locally around DSBs.

Figure 3: Function of R-loops in the successive repair steps 415

416 (a) R-loops regulate resection. When R-loops removal pathways are inefficient, R-loops block the 417 ability of exonuclease to act on the dsDNA. However, in such case, the XPF/XPG endonucleases and potentially CtIP may excise the hybrids, as shown in other context, hence leading to extended 418 419 resection. (b) R-loops regulate Rad51 filament assembly. The presence of a RNA strand hybridized to the resected strand inhibits Rad51 loading, and shall be removed to ensure HR repair. Defective 420 removal triggers alternative, error prone repair. (c) R-loops can also initiate alternative repair 421 pathways. Top panel: RNA can act as a template for pol ζ DNA synthesis. Middle panel: RNA can 422 prime Pola DNA synthesis for fill in. Bottom panel: A persistent R-loop can transform a two 423 424 ended DSB into a one-ended DSB, further repaired by a Pol δ dependent pathway, such as Break 425 Induced Replication (BIR).

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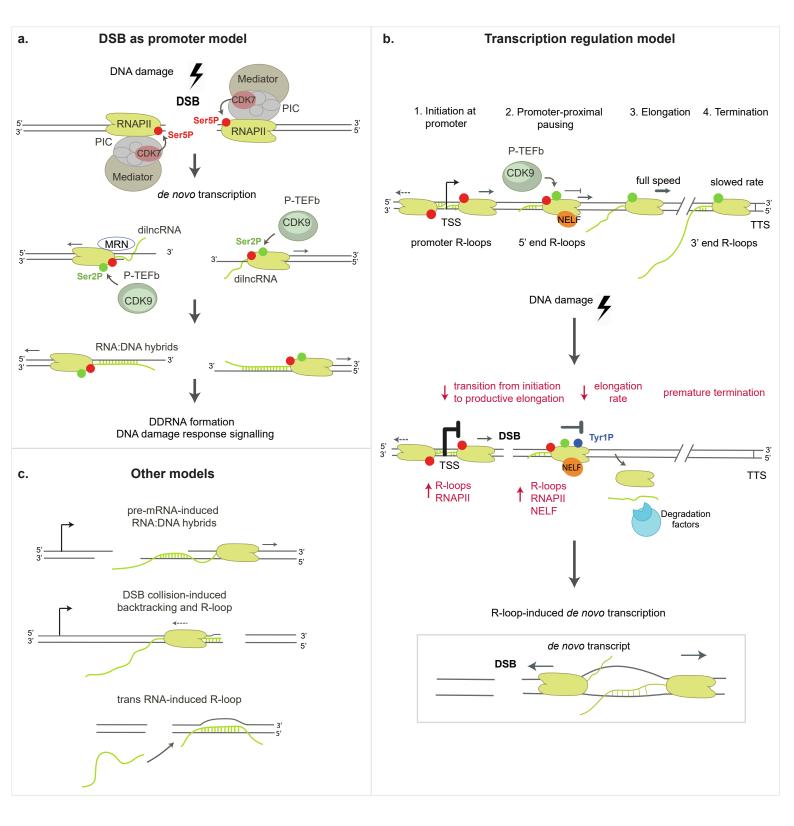
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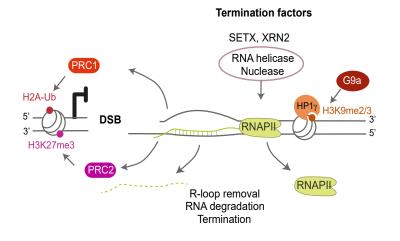
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Marnef & Legube, Figure 1



Marnef & Legube, Figure 2



Marnef & Legube, Figure 3

